

4-24-01

A

04/23/01

PATENT APPLICATION TRANSMITTAL LETTER

Docket Number: SKS-00101

To the Commissioner of Patents and Trademarks:

Transmitted herewith for filing under 35 U.S.C. 111 and 37 CFR 1.53 is the patent application of

SRIKETAN MAHANTI, GAURAV MALLIK; KUPPUSWAMY SESHADHRI; AND ARUN VERMA

Entitled: **METHOD AND APPARATUS FOR ELECTRONIC TRADING**

Enclosed are:

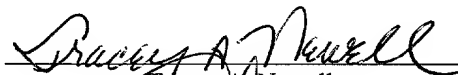
- ☒ 86 pages of written description, claims and abstract.
- ☒ 25 sheets of drawings.
- ☒ unexecuted Declaration of the inventors.
- ☒ Information Disclosure Statement (in duplicate), Form PTO-1449 and cited references.

CLAIMS AS FILED

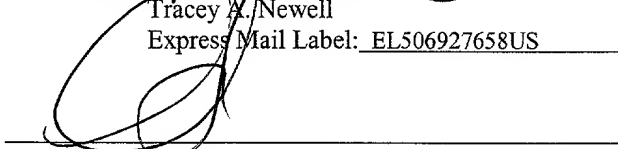
| | NUMBER FILED | NUMBER EXTRA | RATE | FEE |
|---------------------------------------|---|--------------|---------------------|--------|
| BASIC FEE (37 CFR 1.16(a)) | | | \$710 | \$710 |
| TOTAL CLAIMS (37 CFR 1.16(c)) | 42 - 20 = 22 | * 22 | \$ 18 | \$396 |
| INDEPENDENT CLAIMS (37 CFR 1.16(b)) | 2 - 3 = | * | \$ 80 | \$ |
| MULTIPLE DEPENDENT CLAIM PRESENT | (37 CFR 1.16(d)) | | \$270 | |
| * NUMBER EXTRA MUST BE ZERO OR LARGER | | | TOTAL | \$1106 |
| | If applicant has small entity status under 37 CFR 1.9 and 1.27 then divide total fee by 2, and enter amount here. | | SMALL ENTITY | \$ |
| | | | | |

Certificate of Express Mail

I, do hereby certify that the foregoing documents are being deposited with the United States Postal Service as Express Mail, postage prepaid, "Post Office to Addressee", in an envelope addressed to the Commissioner for Patents, Box Patent Applications, Washington, D.C. 20231 on this date of April 23, 2001.



Tracey A. Newell
Express Mail Label: EL506927658US



Anne E. Saturnelli
Reg. No. 41,290

JC986 U.S. PTO
09/840446
04/23/01